



PTO/SB/21 (08-00)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/957,483
Filing Date	September 19, 2001
First Named Inventor	Robert ARSLANIAN
Group Art Unit	1645
Examiner Name	To Be Assigned
Attorney Docket No.	300622007800

Total Number Of Pages In This Submission

6

ENCLOSURES (check all that apply)

- | | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers
(for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declarations | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
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Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return postcard |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | |
| <input checked="" type="checkbox"/> Information Disclosure Statement;
PTO form 1449; and 19 references | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/
Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts
under 37 CFR 1.52 or 1.53 | | |

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENTFirm
or
Individual NameBrenda J. Wallach
Registration No. 45,193

Signature

Date

June 6, 2002

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on June 6, 2002

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PATENT
Docket No. 300622007800

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Garee A. Haney
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In the application of:

Robert ARSLANIAN et al.

Serial No.: 09/957,483

Filing Date: September 19, 2001

For: PRODUCTION OF POLYKETIDES

Examiner: To Be Assigned

Group Art Unit: 1645

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This application claims priority to PCT patent application US01/13793, filed 26 April 2001; which claims priority to U.S. patent application Serial Nos. 09/560,367, filed 28 April, 2000; 09/825,856, filed 3 April 2001; and 09/825,876, filed 3 April 2001; and which also claims

the benefit of the filing date of U.S. Provisional Application Serial Nos. 60/232,696, filed 14 September, 2000; 60/257,517, filed 21 December 2000; and 60/269,020, filed 13 February 2001.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of * is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

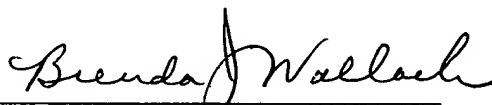
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 300622007800. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: June 6, 2002

Respectfully submitted,

By: 
Brenda J. Wallach
Registration No. 45,193

Morrison & Foerster LLP
3811 Valley Centre Drive
Suite 500
San Diego, California 92130-2332
Telephone: (858) 720-7961
Facsimile: (858) 720-5125

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number 300622007800	Application Number 09/957,483
	Applicant Robert ARSLANIAN et al.	
	Filing Date September 19, 2001	Group Art Unit 1645
	Mailing Date June 6, 2002	



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U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	07/1998	5,783,431	Peterson et al.	435	172.3	
	2.	09/2000	6,121,029	Schupp et al.	435	183	
	3.	10/2001	6,303,342	Julien et al.	435	76	
	4.	02/2002	6,346,404	Schupp et al.	435	183	
	5.	03/2002	6,355,457	Schupp et al.	435	183	
	6.	03/2002	6,355,458	Schupp et al.	435	183	
	7.	03/2002	6,355,459	Schupp et al.	435	183	
	8.	03/2002	6,358,719	Schupp et al.	435	189	
	9.	05/2002	6,383,787	Schupp et al.	435	183	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	10.	05/1998	WO 98/22461	WIPO			
	11.	12/1999	WO 99/66028	WIPO			
	12.	04/2000	WO 00/22139	WIPO			

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	13.	Beyer et al., Biochimica et Biophysica Acta (1999) 1445:185-195
	14.	Chou et al., Proc. Natl. Acad. Sci. USA (1998) 95(16):9642-9647
	15.	Molnar et al., Chemistry and Biology (2000) 7(2):97-109
	16.	Oliynyk et al., Chemistry and Biology (1996) 3:833-839
	17.	Paitan et al., J. Molecular Biology (1999) 286:465-474
	18.	Tang et al., Science (2000) 287:640-642

EXAMINER:

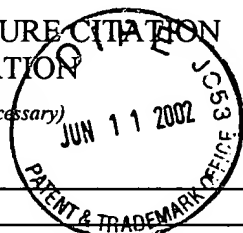
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Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)



Docket Number 300622007800

Application Number 09/957,483

Applicant

Robert ARSLANIAN et al.

Filing Date September 19, 2001

Group Art Unit 1645

Mailing Date June 6, 2002

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19. Varon et al., Antimicrobial Agents and Chemotherapy (1992) 36(10):2316-2321

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DATE CONSIDERED:

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